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PTO 1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ATTORNEY'S DOCKET NUMBER 51326-00005 NAT C S APPLICATION O (Hown see 37 CFR 1.5)

| CONCERNING A SUBMISSIC | 10/224000 | | | | | | | | | |
|--|---|---|--|--|--|--|--|--|--|--|
| INTERNATIONAL APPLICATION NO. PCT/US2003/036705 | INTERNATIONAL FILING DATE 17 November 2003 | PRIORITY DATE CLAIMED 15 November 2002 | | | | | | | | |
| ANTI-MICROBIAL AGENTS DERIVED FROM METHIONINE SULFOXIMINE ANALOGUES | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US REGENTS OF THE UNIVERSITY OF CALIFORNIA | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | |
| This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | |
| This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | |
| 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | |
| 4. The US has been elected (Article 31). | The US has been elected (Article 31). | | | | | | | | | |
| A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | | |
| a. is attached hereto (required | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| b. has been communicated by | b. has been communicated by the International Bureau. | | | | | | | | | |
| c. is not required, as the applie | c. I is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | |
| 6. An English language translation of the | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | |
| a is attached hereto. | a. is attached hereto. | | | | | | | | | |
| b. has been previously submit | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 7. Amendments to the claims of the Inte | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | |
| a. are attached hereto (requi | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| b. have been communicated | b. have been communicated by the International Bureau. | | | | | | | | | |
| c. have not been made; how | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | |
| d. Mave not been made and v | d. Aave not been made and will not be made. | | | | | | | | | |
| 8. An English language translation of the | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | |
| 9. An oath or declaration of the inventor | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | |
| An English language translation of the Article 36 (35 U.S.C. 371(c)(5)). | e annexes of the International Preliminary E | xamination Report under PCT | | | | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | | | | | |
| 11. An Information Disclosure Statement | under 37 CFR 1.97 and 1.98. | | | | | | | | | |
| 12. An assignment document for recording | ng. A separate cover sheet in compliance wi | ith 37 CFR 3.28 and 3.31 is included. | | | | | | | | |
| 13. A preliminary amendment. | A preliminary amendment. | | | | | | | | | |
| 14. An Application Data Sheet under 37 (| CFR 1.76. | | | | | | | | | |
| 15. A substitute specification. | | | | | | | | | | |
| 16. A power of attorney and/or change of | f address letter. | | | | | | | | | |
| 17. A computer-readable form of the sequence | uence listing in accordance with PCT Rule 1 | 13 <i>ter.</i> 2 and 37 CFR 1.821- 1.825. | | | | | | | | |
| 18. A second copy of the published Interr | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 19. A second copy of the English language | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 20. Other items or information: Notification Co | oncerning Submission or Transmittal of Priority Document; No | otification of Transmittal of the International Search Report; International Search | | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 02-2005)
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| U.S. APPLICATI | ON NO. (if known | , see 37 CFR 1.5) & \ | | | ATTORNEY'S DOCKET NUMBER 51326-00005 NAT | | |
|--|--|--------------------------------------|---|------------------------|--|------------------|--|
| 10/534660 PCT/US2003/036705 The following fees have been submitted | | | CALCULATIONS | PTO USE ONLY | | | |
| 21. A Basic national fee\$300 | | | | \$ 300 | 110 002 0121 | | |
| 22. | | | | | \$ 100 | | |
| 23. | | | | | \$ 100 | | |
| | TOTAL OF 21, 22 and 23 = | | | | \$ 500 | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | |
| Total Sheets | Extra Sheets | | additional 50 or fraction p to a whole number) | RATE | i | | |
| 39 - 100 = | 0 /50 = | | | × \$250 | \$ ⁰ | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). | | | | | \$ O | | |
| CLAIMS | NUMB | ER FILED | NUMBER EXTRA | RATE | \$ | | |
| Total claims | 14 | - 20 = | 0 | × \$50 | \$ 0 | | |
| Independent clain | ns 6 | - 3 = | 3 | × \$200 | \$ 600 | | |
| MULTIPLE DEPE | NDENT CLAIM(S | 6) (if applicable) | | + \$360 | \$ 360 | | |
| | TOTAL OF ABOVE CALCULATIONS = | | | | \$ 1460 | | |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | 730 | | | |
| SUBTOTAL = | | | | \$ 730 | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | \$ | | | |
| TOTAL NATIONAL FEE = | | | | \$ 730 | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | \$ | | |
| | TOTAL FEES ENCLOSED = | | | | | \$ 730 | |
| | | | | Amount to be refunded: | \$ | | |
| | | | | | Amount to be charged: | \$ | |
| a. A check | k in the amount of | 730 | to cover the ab | ove fees is enclosed. | | | |
| b. Please A duplic | charge my Depos cate copy of this s | sit Account No sheet is enclosed. | in the amount of | \$ to a | over the above fees. | | |
| | | | charge any additional fees w | hich may be required, | or credit any overpayme | ent to Deposit | |
| | | | RNING: Information on this ard information and authoriz | | olic. Credit card inform | ation should not | |
| NOTE: Where ar | n appropriate tim | e limit under 37 (| CFR 1.495 has not been moon to pending status. | | (37 CFR 1.137(a) or (b |)) must be filed | |
| | RESPONDENCE | | . F | Mushall | o Stade | . | |
| Customer Number: 45,200 SIGNATURE | | | | e grade | | | |
| Preston Gates Ellis LLP Michelle S. 0 | | | | Slasky, Ph.D. | | | |
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| Irvine, California 92614-7319 54,124 | | | | | | | |
| | | | | REGISTRATI | ON NUMBER | | |

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I hereby certify that this correspondence is being Express Mail to the United States Patent and Trademark Office

On <u>May 10, 2005</u> Date

Michelle S. Glasky, Ph.D.

Type or printed name of person signing Certificate

<u>54,124</u> Registration Number, if applicable (949) 253-0900 Telephone Number

Papers Sumitted: Transmittal Letter Under 35 USC 371; unsigned Declaration; Application Data Sheet; International Publication; Submission Transmittal of Priority Document; and International Search Report